

APPENDIX C: Office of Inspector General (OIG) Report Findings



Adverse Events in Skilled Nursing Facilities: National Incidence Among Medicare Beneficiaries¹

- An estimated **22 percent** of Medicare beneficiaries experienced adverse events during their Skilled Nursing Facility (SNF) stays.
- An additional **11 percent** of Medicare beneficiaries experienced temporary harm events during their SNF stays.
- Physician reviewers determined that **59 percent** of these adverse events and temporary harm events were clearly or likely preventable.
- Much of the preventable harm was attributed to substandard treatment, inadequate resident monitoring, and failure or delay of necessary care.
- Over half of the residents who experienced harm returned to a hospital for treatment, with an estimated cost to Medicare of \$208 million in August 2011. This equated to **\$2.8 billion** spent on hospital treatment for harm caused in SNFs in FY 2011.

Nursing Facilities' Compliance with Federal Regulations for Reporting Allegations of Abuse or Neglect

- It is both required and expected that nursing facilities will report any and all allegations of abuse or neglect to ensure resident safety.
- **85 percent** of nursing facilities reported at least one allegation of abuse or neglect to OIG in 2012.
- **76 percent** of nursing facilities maintained policies that address federal regulations for reporting both allegations of abuse or neglect and investigation results.
- **61 percent** of nursing facilities had documentation supporting the facilities' compliance with both federal regulations under Section 1150B of the Social Security Act.
- **53 percent** of allegations of abuse or neglect and the subsequent investigation results were reported, as federally required.

¹ Levinson, Daniel (2014, February). Adverse Event in Skilled Nursing Facilities: National Incidence Among Medicare Beneficiaries. Retrieved from <https://oig.hhs.gov/oei/reports/oei-06-11-00370.pdf>

² Levinson, Daniel (2014, August). Nursing Facilities' Compliance with Federal Regulations for Reporting Allegations of Abuse or Neglect. Retrieved from <https://oig.hhs.gov/oei/reports/oei-07-13-00010.pdf>